

02-05-01

A
BOX 5EQEC997 U.S. PTO
02/02/01**UTILITY
PATENT APPLICATION
TRANSMITTAL**(Only for new nonprovisional applications under 37
CFR 1.53(b))

Attorney Docket No. C1037/7013(HCL/MAT)

First Named Inventor or Application Identifier

BRATZLER, Robert L.

Express Mail Label No. EL711263015US

Date of Deposit February 2, 2001

PTO
64944/60
02/02/01**APPLICATION ELEMENTS**

See MPEP chapter 600 concerning utility patent application contents

ADDRESS Box Patent Application
TO: Commissioner for Patents
Washington, DC 20231

1. ☐ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification [Total pages 85]
80 - pages description
1 - pages abstract
4 - pages claims 36 - Total claims
3. ☐ Drawing(s) (35 USC 113) [Total sheets ☐
☐ Informal ☐ Formal [Total drawings ☐
4. ☐ Oath or Declaration [Total pages ☐
a. ☐ Newly executed (original or copy)
b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting
inventor(s) named in the prior application,
see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference
(usable if Box 4b is checked)
The entire disclosure of the prior application,
from which a copy of the oath or declaration is
supplied under Box 4b, is considered as being
part of the disclosure of the accompanying
application and is hereby incorporated by
reference therein.

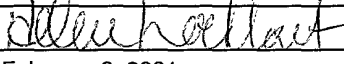
6. ☐ Microfiche Computer Program (Appendix)
7. ☒ Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
a. ☒ Computer Readable Copy
b. ☒ Paper Copy (identical to computer copy)
c. ☒ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☐ Assignment Papers/cover sheet &
documents(s)
9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)
☐ Power of Attorney
10. ☐ English Translation of Document (if applicable)
11. ☐ Information Disclosure Statement PTO-1449
☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s)
☐ Statement filed in prior application, Status
still proper and desired
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Other: This application claims priority under Title
35 §119(e), of United States Provisional Application
No. 60/179,991, filed February 3, 2000, entitled
IMMUNOSTIMULATORY NUCLEIC ACIDS FOR
THE TREATMENT OF ASTHMA AND ALLERGY,
the entire contents of which are incorporated herein by
reference.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
- ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.:
- ☐ Cancel in this application original claims of the prior application before calculating the filing fee.
- ☐ Amend the specification by inserting before the first line the sentence:
- This application is a ☐ continuation ☐ divisional of application serial no. , filed , entitled , and now .

18. CORRESPONDENCE ADDRESS					
Correspondence address below					
ATTORNEY'S NAME	Helen C. Lockhart, Reg. No. 39,248				
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19. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED	
NAME	Helen C. Lockhart, Reg. No. 39,248
SIGNATURE	
DATE	February 2, 2001

Express Mail No. EL711263015US
Date of Mailing: February 2, 2001
Attorney Docket No: C1037/7013 (HCL/MAT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Robert L. Bratzler et al.
Serial No.: Not Yet Assigned
Filed: Herewith
Entitled: IMMUNOSTIMULATORY NUCLEIC ACIDS FOR THE TREATMENT OF
ASTHMA AND ALLERGY

Box Patent Application
Commissioner for Patents
Washington, D.C. 20231

STATEMENT PURSUANT TO 37 CFR 1.821(f)

Sir:

This statement is made pursuant to 37 CFR 1.821(f). Applicants enclose herewith a paper copy of the Sequence Listing and a computer readable diskette. Applicants' attorney states that the content of the paper copy of the Sequence Listing and the computer readable diskette contain the identical information.

Respectfully submitted,



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Docket No. C1037/7013 (HCL/MAT)
Date: February 2, 2001
X02/02/01